

Gravitational Properties of the Proca Field

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We study a 2-parameter family of Proca-Einstein models:

$$\mathcal{L} = \sqrt{-g} \left[\frac{1}{2} M_P^2 R - \frac{1}{4} F_{\mu\nu}^2 - \frac{1}{2} m^2 A^2 - \frac{1}{2} \alpha G_{\mu\nu} A^\mu A^\nu + \frac{1}{4} \beta \Lambda^{-2} L_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma} \right]$$

- Quadratic in Proca field, linear in curvature
- Minimal (modulo ambiguity) & quadrupole couplings
- All Proca-graviton-Proca cubic couplings included
- Ghost free: second-derivative equations of motion
- From naturalness: $|\alpha| \lesssim \mathcal{O}(1)$, $|\beta| \sim \mathcal{O}(1)$.

Outline

Motivations

Estimation of UV Cutoff

Constraints from Shock-wave Analysis

Constraints from Unitarity & Analyticity

Remarks

Motivations

- Gravitational interactions are unavoidable
- Massive low-spin fields in flat space: **No issues**
- Ghost-free interacting theories exist
- Attractive for cosmology model building/ astrophysics
- **Embedding in sensible UV completion???**
- Parameter space must be constrained

UV Cutoff Estimation

- Analysis in Stückelberg formalism: $A_\mu = B_\mu - m^{-1}\partial_\mu\phi$.
- System acquires “gauge” symmetry (Stückelberg invariance):

$$\delta B_\mu = \partial_\mu\lambda, \quad \delta\phi = m\lambda$$

- Gauge fixing: propagators smooth in massless limit

$$\Delta\mathcal{L} = -\frac{1}{2}\sqrt{-g}(\nabla_\mu B^\mu - m\phi)^2$$

- Canonically normalized graviton: $g_{\mu\nu} = \eta_{\mu\nu} + \frac{2}{M_{\text{P}}}h_{\mu\nu}$
- UV cutoff easily read from higher-dimensional operators

Case I, $\alpha \neq 0$, without Counter Terms

- Lowest suppression scale (no quadrupole): $\Lambda_3 \equiv \sqrt[3]{m^2 M_P}$
- Inclusion of quadrupole term means: $\Lambda \gtrsim \Lambda_3$
- Take the following scaling limit:

$$m \rightarrow 0, \quad M_P \rightarrow \infty, \quad \Lambda_3 = \text{constant}$$

- Lagrangian, modulo field redefinitions, reduces to:

$$\begin{aligned} \mathcal{L}_{\text{g.f.}} \rightarrow & \frac{1}{2} B_\mu \partial^2 B^\mu + \frac{1}{2} \phi \partial^2 \phi + \frac{1}{2} h_{\mu\nu} \mathcal{G}^{\mu\nu} \\ & - \frac{\alpha^2}{8\Lambda_3^6} \partial_\mu \phi \partial_\nu \phi \mathcal{E}^{\mu\nu\alpha\beta} \partial_\alpha \phi \partial_\beta \phi \end{aligned}$$

- UV cutoff reads: $\Lambda \sim \frac{\Lambda_3}{\sqrt[3]{|\alpha|}}, \quad 0 < |\alpha| \lesssim \mathcal{O}(1)$

Case II, $\alpha = 0$, with Counter Terms

- Lowest suppression scale pushed higher, $\Lambda_2 \equiv \sqrt{mM_P}$, by:

$$\mathcal{L}_{\text{c.t.}} = \frac{1}{8}\kappa\alpha^2 M_P^{-2} \sqrt{-g} A_\mu A_\nu \hat{\mathcal{E}}^{\mu\nu\rho\sigma} A_\rho A_\sigma, \quad \kappa = 1$$

- Take the following scaling limit:

$$m \rightarrow 0, \quad M_P \rightarrow \infty, \quad \Lambda_2 = \text{constant}$$

- Lagrangian, modulo field redefinitions, reduces to:

$$\begin{aligned} \mathcal{L}_{\text{g.f.}} + \mathcal{L}_{\text{c.t.}} &\rightarrow \frac{1}{2} B_\mu \partial^2 B^\mu + \frac{1}{2} \phi \partial^2 \phi + \frac{1}{2} h_{\mu\nu} \mathcal{G}^{\mu\nu} \\ &\quad + \sum_{n=1}^{\infty} \left(\frac{\alpha}{\Lambda_2^4} \right)^n (\mathcal{O}_{4n+4} + \beta \Lambda^{-2} \mathcal{O}_{4n+6}) \end{aligned}$$

- UV cutoff reads: $\Lambda \sim \frac{\Lambda_2}{\sqrt[4]{|\alpha|}}, \quad 0 < |\alpha| \lesssim \mathcal{O}(1)$

Case III, $\alpha = 0$

- Lagrangian acquires $U(1)$ gauge invariance in massless limit
 - There will be no “ $1/m$ ” dependency
 - Only Planck-suppressed higher dimensional operators
 - UV cutoff is: $\Lambda \sim M_P$, $\alpha = 0$
 - This is model independent upper bound on the UV cutoff
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- To be more precise:

$$\Lambda \sim \begin{cases} \frac{\Lambda_3}{\sqrt[3]{|\alpha|}}, & \mathcal{O}((m/M_P)^2) \lesssim |\alpha| \lesssim \mathcal{O}(1), \text{ without counter terms,} \\ \frac{\Lambda_2}{\sqrt[4]{|\alpha|}}, & \mathcal{O}((m/M_P)^2) \lesssim |\alpha| \lesssim \mathcal{O}(1), \text{ with counter terms,} \\ M_P, & |\alpha| \lesssim \mathcal{O}((m/M_P)^2). \end{cases}$$

Shock-Wave Analysis

- The Proca-Einstein system admits pp-wave solution:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} = \eta_{\mu\nu} + \mathcal{F}(u, \vec{x}) l_\mu l_\nu$$

$$A_\mu = \bar{A}_\mu = M_P \mathcal{H}(u, \vec{x}) l_\mu$$

- Provided that the functions satisfy:

$$\partial^2 \tilde{\mathcal{F}} = 0, \quad (\partial^2 - m^2) \mathcal{H} = 0$$

with $\tilde{\mathcal{F}} \equiv \mathcal{F} + \left(1 + \alpha + 2\beta\Lambda^{-2}m^2 - \frac{1}{2}\beta\Lambda^{-2}\partial^2\right) \mathcal{H}^2$

- The solutions at $\vec{x} \neq 0$

$$\tilde{\mathcal{F}} = -\mathcal{A}(u) \ln(\Lambda|\vec{x}|), \quad \mathcal{H} = \mathcal{B}(u) K_0(m|\vec{x}|)$$

- Taking the singularity at $\vec{x} = 0$ into account:

$$M_P^{-2} T_{\mu\nu} = \pi l_\mu l_\nu \mathcal{A}(u) \delta^2(\vec{x}) + m^2 l_\mu l_\nu \mathcal{B}^2(u) [K_0^2(m|\vec{x}|) + K_1^2(m|\vec{x}|)] [1 + \alpha - \beta \mathfrak{F}(m|\vec{x}|)]$$

with $\mathfrak{F}(m|\vec{x}|) \equiv \frac{1}{2} \Lambda^{-2} [K_0^2(m|\vec{x}|) + K_1^2(m|\vec{x}|)]^{-1} \partial^2 K_1^2(m|\vec{x}|) - m^2 \Lambda^{-2}$

- Imposing null energy condition:

$$\mathcal{A}(u) \geq 0, \quad 1 + \alpha - \beta \mathfrak{F}(m|\vec{x}|) \geq 0$$

- Within the EFT validity regime, we conclude

$$1 + \alpha - 2a\beta \geq 0, \quad \text{for } a \in (0, 1]$$

- Violation of NEC may lead to superluminal propagation

- Linear Proca fluctuations in probe limit: $w_\mu = A_\mu - \bar{A}_\mu$
- Divergence of EoM gives constraint (3 DoF's)
- Take v as light-cone time, w_u as non-dynamical
- Choose “sandwich wave” profile:

$$\begin{pmatrix} \mathcal{A}(u) \\ \mathcal{B}(u) \end{pmatrix} = \begin{pmatrix} \mathcal{A}_0 \\ \mathcal{B}_0 \end{pmatrix} [1 - \theta(u^2 - \lambda^2)] \exp \left[-\frac{\lambda^2 u^2}{(u^2 - \lambda^2)^2} \right]$$

- So, $\mathcal{A}(u), \mathcal{B}(u) \in C^\infty(\mathbb{R})$, with a compact support $[-\lambda, \lambda]$
- Ansatz for fluctuations: $w_\mu(u, v, \vec{x}) = \tilde{w}_\mu(u) e^{i(pv + \vec{q} \cdot \vec{x})}$
- Thin sandwich wave: Ignore change in transverse position during the course of the sandwich wave

- Work in the following parametric regime:

$$\Lambda \gtrsim \frac{1}{\lambda} \gg p \gg q \gg \frac{1}{b} \gg m$$

- Change in q during the course of the wave can be ignored
- Transverse position and momentum chosen to be aligned
- Redefine dynamical modes as:

$$\Phi_1 = \tilde{w}_v, \quad \Phi_2 = \delta_{ij} e_i \tilde{w}_j, \quad \Phi_3 = \varepsilon_{ij} e_i \tilde{w}_j$$

$i, j = 1, 2$ indices on transverse plane, e_i momentum unit vector

- Equations of motion take the schematic form:

$$(\partial_u - ip\gamma) \Phi_I(u) = ip (\mathcal{A}(u) \mathcal{C}_{IJ} + \mathcal{B}^2(u) \mathcal{D}_{IJ}) \Phi_J(u)$$

where $\gamma \equiv \frac{1}{4}(q^2 + m^2)/p^2$ and \mathcal{C}, \mathcal{D} are 3×3 matrices

- Choose $\mathcal{A}_0 = 0$, $\mathcal{B}_0 = \pm 1$ and diagonalize the system
- Integrate across the sandwich wave:

$$\Psi_I(+\lambda) \approx \Psi_I(-\lambda) \exp \left[ip \int_{-\lambda}^{+\lambda} du [\gamma + d_I \mathcal{B}^2(u)] \right]$$

- Integral is the ν -shift suffered by the I -th mode
- Time delay relative to massless propagation in flat space:

$$\Delta v_I \approx 1.13 d_I \lambda.$$

- The eigenvalues of matrix \mathcal{D} are:

$$d_1 \approx \alpha (1 + \alpha - 2\beta(\Lambda b)^{-2}) (mb)^{-2}$$

$$d_2 \approx d_3 \approx (1 + \alpha) \ln^2(mb)$$

- NEC plus absence of negative time delay:

$$\alpha \geq 0, \quad \beta \leq \frac{1}{2} (1 + \alpha)$$

Unitarity & Analyticity Constraints

- Local, unitary, analytic, Lorentz invariant UV completion
- Scattering amplitudes must satisfy certain inequalities
- Simple positivity constraints for crossing-symmetric forward scattering amplitudes
- Assumptions:
 - (i) compliance of high-energy amplitudes with optical theorem
 - (ii) Froissart bound
 - (iii) standard analyticity properties of S-matrix
- Perturbative UV completion (tree level diagrams suffice)

- On-shell 4-point scattering amplitudes of the Proca field
- t -channel crossing symmetry requires to consider
- Same polarization of particles within pairs (1,3) and (2,4)
- Introduce IR regulator μ to address t -channel singularity
- Kinematic regime of interest:

$$\Lambda \gg \sqrt{s} \gg \sqrt{-t} = \mu \ll m$$

- IR regulated forward limit crossing-symmetric amplitudes

$$\mathcal{M}_{ij}(s) = \mathcal{M}_{\lambda_i \lambda_j; \lambda_i \lambda_j}(s, t \rightarrow -\mu^2), \quad i, j = 0, 1, 2,$$

where λ_i 's are physical polarizations

- Consider the quantity: $f_{ij} \equiv \frac{1}{2\pi i} \oint_C ds \frac{\mathcal{M}_{ij}(s)}{(s - s_0)^3}$
- s_0 is in $(0, 4m^2)$ real-line segment on complex s -plane
- From analytic dispersion relations:

$$f_{ij} = - \operatorname{Res}_{s=-\infty} \left[\frac{\mathcal{M}_{ij}(s)}{(s - s_0)^3} \right]_{\text{EFT}}$$

- From Froissart bound, optical theorem, crossing symmetry

$$f_{ij} > 0, \quad i, j = 0, 1, 2$$

- Deforming contour, dropping boundary contributions, encircling multi-particle branch cuts starting at $s_0 = 0$ and $s_0 = 4m^2$.

- The results are:

$$f_{00} = (mM_P)^{-2} \left[(m/\mu)^2 + \alpha \right],$$

$$f_{10} = \frac{3}{8}(mM_P)^{-2}\alpha^2(1 - \kappa) = f_{20},$$

$$f_{11} = (\Lambda M_P)^{-2} \left[(\Lambda/\mu)^2 + \frac{1}{2}\beta^2 (m/\Lambda)^2 - \beta \right],$$

$$f_{22} = (\Lambda M_P)^{-2} \left[(\Lambda/\mu)^2 + \frac{1}{2}\beta^2 (m/\Lambda)^2 - 3\beta \right],$$

$$f_{12} = (\Lambda M_P)^{-2} \left[(\Lambda/\mu)^2 + \frac{1}{2}\beta^2 (m/\Lambda)^2 - \beta(2 + \alpha) \right] = f_{21}$$

- Most f_{ij} dominated by t -channel graviton exchange
- From $f_{10} = f_{20} > 0$, we obtain: $\alpha \neq 0$, $\kappa < 1$
- Model-independent UV cutoff upper bound changed to:

$$\Lambda \sim \Lambda_3 / \sqrt[3]{|\alpha|}$$

Summary

- UV cutoff of EFT parametrically smaller than Planck mass
- Shock-wave analysis: null-energy condition and absence of negative time delays in high energy scattering puts constraints
- Unitarity-Analyticity constrains
 - (i) ambiguity term must be present!
 - (ii) cutoff upper bound much below Planck scale
- Combining all results:

$$\alpha > 0, \quad \beta \leq \frac{1}{2} (1 + \alpha)$$

**Thank You
for Your Attention**